

ARTICLE

Received 11 Oct 2013 | Accepted 3 Apr 2014 | Published 2 May 2014

DOI: 10.1038/ncomms4801

Ferroelastic domain switching dynamics under electrical and mechanical excitations

Peng Gao^{1,†}, Jason Britson², Christopher T. Nelson¹, Jacob R. Jokisaari¹, Chen Duan², Morgan Trassin³, Seung-Hyub Baek⁴, Hua Guo⁵, Linze Li¹, Yiran Wang¹, Ying-Hao Chu³, Andrew M. Minor^{3,5}, Chang-Beom Eom⁴, Ramamoorthy Ramesh³, Long-Qing Chen² & Xiaoqing Pan¹

In thin film ferroelectric devices, switching of ferroelastic domains can significantly enhance electromechanical response. Previous studies have shown disagreement regarding the mobility or immobility of ferroelastic domain walls, indicating that switching behaviour strongly depends on specific microstructures in ferroelectric systems. Here we study the switching dynamics of individual ferroelastic domains in thin $\text{Pb}(\text{Zr}_{0.2}\text{Ti}_{0.8})\text{O}_3$ films under electrical and mechanical excitations by using *in situ* transmission electron microscopy and phase-field modelling. We find that ferroelastic domains can be effectively and permanently stabilized by dislocations at the substrate interface while similar domains at free surfaces without pinning dislocations can be removed by either electric or stress fields. For both electrical and mechanical switching, ferroelastic switching is found to occur most readily at the highly active needle points in ferroelastic domains. Our results provide new insights into the understanding of polarization switching dynamics as well as the engineering of ferroelectric devices.

¹Department of Materials Science and Engineering, University of Michigan, Ann Arbor, Michigan 48109, USA. ²Department of Materials Science and Engineering, Penn State University, University Park, Pennsylvania 16802, USA. ³Department of Materials Science and Engineering, University of California, Berkeley, California 94720, USA. ⁴Department of Materials Science and Engineering, University of Wisconsin, Madison, Wisconsin 53706, USA.

⁵National Center for Electron Microscopy, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA. † Present address: Brookhaven National Laboratory, Upton, New York, New York 11973, USA (P.G.). Correspondence and requests for materials should be addressed to X.Q.P. (email: panx@umich.edu).

Ferroelectric materials possessing a strong intrinsic coupling between the spontaneous polarization and strain are commonly chosen for use in piezoelectric sensors, mechanical switches and high-density non-volatile memories. For these materials, a long-standing, controversial question persists about the mobility of ferroelastic domain boundaries during ferroelectric switching^{1–12}. Such boundaries separate regions where both the polarization vector and spontaneous strain change across the boundary. Several studies have found that these domain boundaries are highly mobile and significantly enhance the electromechanical response of the film² while other studies have conversely found that the lack of such switching hinders polarization reversal^{9,13}. In tetragonal ferroelectrics, ferroelastic domain walls mediate a 90° rotation of the polarization vector and *c* axis of the unit, which necessarily produces local strains to accommodate the lattice parameter mismatch. First-principles calculations indicate the barrier to motion is much lower for these 90° domain walls than for 180° domain walls in PbTiO₃ that separate elastically equivalent domains¹⁴, but many experimental studies have shown 90° domain walls to be immobile in thin films^{7,9,15,16}. This discrepancy has been found to be the result of substrate clamping and/or pinning by crystalline defects^{17,18} such as dislocations, impurities or vacancies that interact with domain walls. Nevertheless, a few experimental studies suggested that the 90° domains (also known as *a*-domains, 90° domains possess polarization oriented in the plane of the film while *c*-domains possess polarization oriented out of the plane of the film) are mobile or switchable. For example, Kohli *et al.*⁶ reported that the volume fraction of 90° domains could be reduced using external electric fields while Nagarajan *et al.*² found that mobility of 90° domain walls was enhanced in discrete patterned islands of Pb(Zr_{0.2}Ti_{0.8})O₃ (PZT) thin films versus in continuous films. More recently, Ehara *et al.*¹⁰ showed that ferroelastic domains in PZT thin films could move on a very short timescale on the order of hundreds of nanoseconds. Lee *et al.*¹² found that in a PZT capacitor the *a*-domains can split into fine *a*- and *c*-domain patterns during switching, resulting in the formation of 90° stripe domains. These varying experimental results suggest that the mobility of ferroelastic domain walls strongly depends on the specific system and the local environment, that is, the microstructure. This relationship between dynamic domain behaviour and microstructure remains unclear since it is difficult to extract these details from the large area aggregate responses measured with bulk techniques, such as X-ray diffraction or electrical characterization, or with surface probe techniques that provide limited subsurface microstructure information^{2,6–10,15}. Recently developed *in situ* transmission electron microscopy (TEM) techniques, which enable switching behaviour to be correlated with specific microstructure and defects, provide a unique opportunity for domain dynamics studies^{12,19–23}.

Here we combine *in situ* TEM and phase-field modelling methods to study the nanoscale behaviour of individual ferroelastic domains under applied electric and stress fields in a thin Pb(Zr_{0.2}Ti_{0.8})O₃ film. We find that the switching behaviour depends significantly on specific microstructures and that misfit dislocations play an important role in the mobility of ferroelastic domains. Ferroelastic domains located at the substrate interface in the film are stabilized by dislocations, which makes them difficult to remove, while similar domains at the free surface of the film, which are not associated with comparable dislocations, are not stabilized and thus are erased at moderate strength of either electric or stress fields. In both cases, ferroelastic domains that extend completely through the thin film were found to be much less mobile than their needle-shaped counterparts extending only partially through the thin film. The highly active

tapered needle point of such partial ferroelastic domains observed in these films results from the inability of these regions to maintain a low-energy configuration of the polarization vectors (head-to-tail arrangement). Such active sites are the most mobile regions of ferroelastic domain walls for both electrical and mechanical switching. Our results provide new insights into polarization switching dynamics. Furthermore, the ability to control the polarization and strain by local ferroelastic domain switching provides a framework for exploiting multiple local microstructure-related parameters such as charge, lattice distortion and spin in strongly correlated materials.

Results

Electrical switching. In this work, thin PZT films were subjected to *in situ* electrical and mechanical stimuli via a scanning probe depicted schematically in Fig. 1a. Films were grown on (001) SrTiO₃ or (110) DyScO₃ substrates with SrRuO₃ (SRO) buffer electrodes. Such films relax from a state of nominal compressive epitaxial strain through the formation of interfacial dislocation arrays. All films adopted a predominantly *c* axis-oriented mixed *c/a/c*-domain structure as shown in Fig. 1a,b. Analysis of the polarization²⁴ at ferroelastic domain boundaries via high-resolution high-angle annular dark field (HAADF) scanning TEM (STEM) images is shown in Fig. 1b, where the Pb sublattice offset is used to determine the polarization orientation in the film. The head-to-tail configuration at the 90° domain boundary minimizes the amount of bound charge at the domain wall¹. Electric fields were applied parallel to the polarization in the *c*-domains, providing a driving force for the growth of the *c*-domains at the expense of unfavourably aligned *a*-domains. A typical chronological image series (Fig. 1c) shows that those *a*-domains clamped by interfaces are not mobile owing to effective interface clamping and/or dislocation pinning. At –18 V (Fig. 1c) no polarization switching of this *a*-domain is observed before dielectric breakdown in the film. The *a*-domain is eventually erased at –19 V, although this occurs during breakdown of the film and the formation of a damaged region proximate to the tip.

Generally, *a*-domains across the thickness of the film form nearly charge-neutral {101} 90° domain walls to achieve the most stable domain configuration in a tetragonal ferroelectric structure¹⁴. However, *a*-domains that do not extend through the thickness are also frequently observed in real samples, as shown in Fig. 2a, where a partial *a*-domain terminates in the middle of the film. Phase-field models of such partial domains indicated that they would not be stable in an ideal homogenous system, but instead are expected to switch back into a *c*-domain or extend all the way through the whole film, depending on the driving force determined by the competition between static electric energy and strain energy. Thus, these partial *a*-domains are likely stabilized by defects such as dislocations^{18,25,26}. An atomic-resolution image in Fig. 2c shows a partial *a*-domain pinned by a pair of misfit dislocations with the Burgers vectors of $a/2[10\bar{1}]$ near the bottom interface of the PZT thin film. In these samples, all partial *a*-domains located at the bottom interface were found to be stabilized and pinned by dislocations formed owing to the lattice mismatch between the epitaxially strained SRO electrode and the PZT film^{18,25}. These *a*-domains adopt a needle-like shape²⁷. The polarization map from this needle point (Fig. 2e) shows the domain boundary is no longer abrupt, in contrast to the conventional 90° domain walls shown in Fig. 1b, but the polar vectors seem to deviate from the regular polar axis $\langle 100 \rangle$ of the tetragonal phase of PZT, generating a transition zone where the in-plane polar state (*a*-domain) transits gradually to the out-of-plane polar state (*c*-domain). Owing to the inability

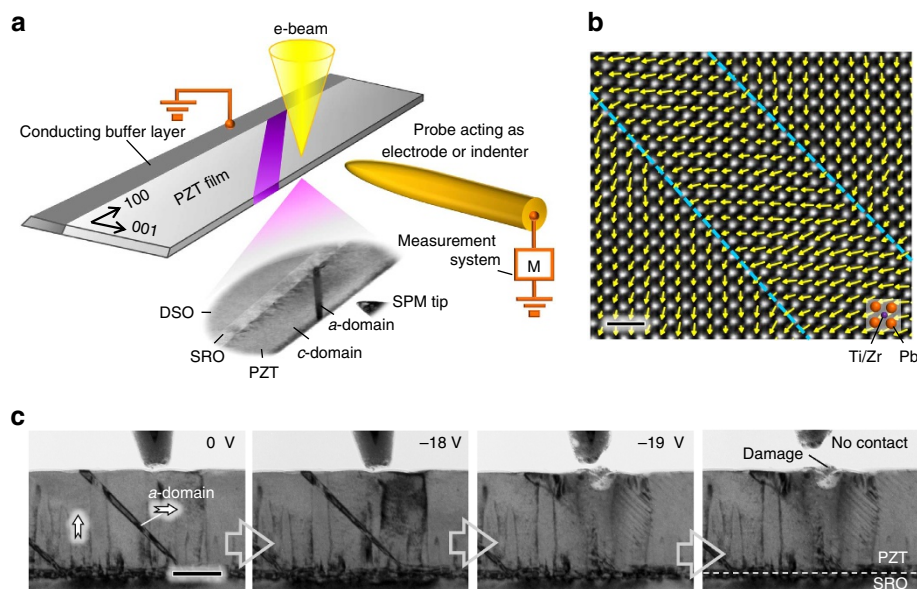


Figure 1 | Ferroelastic domain switching via *in situ* TEM. (a) Schematic and TEM image of experimental set-up: a thin cross-sectional $\text{Pb}(\text{Zr}_{0.2}\text{Ti}_{0.8})\text{O}_3$ film with a concentration of ferroelastic 90° domains (*a*-domains) was grown on SRO/DyScO₃ and SRO/SrTiO₃. A mobile tungsten tip acts as one electrode for electrical switching with the SRO layer being grounded, whereas a diamond indenter is used for mechanical switching. (b) High-resolution HAADF STEM image of *c/a/c*-domains overlaid with vectors describing the head-to-tail polarization arrangement. Scale bar, 1 nm. (c) Image sequence showing a clamped 90° domain is stable at applied negative voltages from 0 → (−18) V. At −19 V, the domain is eventually erased and, simultaneously, damage to the film occurs due to the high strength of the electric field. Scale bar, 100 nm.

to maintain a head-to-tail arrangement of the polarization vectors in this region, the domain needle point is both charged and relatively high in energy. This configuration makes partial *a*-domains effectively switchable, as shown in Fig. 2a. At −8 V, the partial *a*-domain begins to shorten as the polar vectors rotate 90° to coincide with the electric field. Switching begins from the needle tip, where the barrier to polarization rotation is lowest. With increasing bias, the partial *a*-domain gradually diminishes, shrinking along the long axis. However, the partial *a*-domain is never completely erased, even up to −30 V. Although the needle-like shape at the forward edge facilitates polarization rotation during the initial stages, the existence of large strains near the dislocations that is evidenced by both geometric phase analysis (Fig. 2d) and phase-field modelling locally stabilizes the in-plane polarization around the dislocation, as a unit cell is missing at each dislocation, and causes in-plane tensile strain that locally favours the *a*-domains, resulting in incomplete switching. The domain length is plotted as a function of time and is shown in Fig. 2b, and the change in length of the domain can be used to estimate the velocity of the domain wall during switching²⁸. An inhomogeneous distribution of the electric field resulting from the irregular geometry of the tip electrode and the built-in electric field²², however, makes it difficult to obtain a very precise estimate of the electric field-dependent velocity of the needle point. Besides non-uniform electric fields, various defect (for example, oxygen vacancies, dislocations)-induced pinning effects^{22,28} may also account for the inhomogeneous velocity during domain switching. After the external field is removed, the domain returns to its original length (Fig. 2a).

The switching dynamics have also been simulated by phase-field modelling, as shown in Fig. 2f. A partial ferroelastic domain can be stabilized by two partial dislocations near the substrate interface with Burgers vectors of $\mathbf{a}/2 [10\bar{1}]$. In agreement with the experimental observations, application of an electric potential favouring out-of-plane polarization induces a reduction of the partial *a*-domain. Polarization near the dislocations, however,

cannot be completely switched owing to the high elastic energy cost, even with large electric fields. After removal of the applied potential, the stabilized ferroelastic domain returns to its original extent.

In contrast, partial *a*-domains originating at the free surface, rather than at the bottom interface, are not strongly pinned, more mobile and erased permanently with a moderate external electric field via 0 → (−15) → 0 V voltage ramps, as shown in Fig. 3a,b. Since ferroelastic domains usually inhibit the motion of 180° domain walls at low electric fields⁹, erasure of such ferroelastic domains should enhance the ferroelectric response in thin film devices². Such *a*-domains, then, can be seen to be switchable between several metastable states and whether the domains exist as partial domains or extend completely through the film and whether the domain can be permanently switched to single *c*-domain is controlled by pinning defects.

Mechanical switching. The mobility of ferroelastic domains under the application of a strain field was examined by using a nanoindenter to locally apply an *in situ* force to the PZT film. Similar to an electric field, strain can induce ferroelastic switching, since switching occurs when $\sigma_{ij}\Delta\varepsilon_{ij} + E_i\Delta P_i \geq 2P_sE_c$ (ref. 29), where σ_{ij} and ε_{ij} are the stress and the strain tensors, E_i and P_i denote the magnitude of the electric field and polarization vectors, respectively, P_s and E_c are the spontaneous polarization and the coercive field, respectively, and Δ indicates the change between the initial and the post switching state. No electric field was applied, and the same PZT sample with a thin SRO buffer electrode was used. Application of a compressive force to the PZT thin film cross-section along the film normal favours the in-plane polarization, inducing the growth of a partial *a*-domain, which is shown in real time in the Supplementary Movie 1 and chronologically in Fig. 4a. The corresponding instantaneous load versus time and displacement curves are presented in Fig. 4b,c.

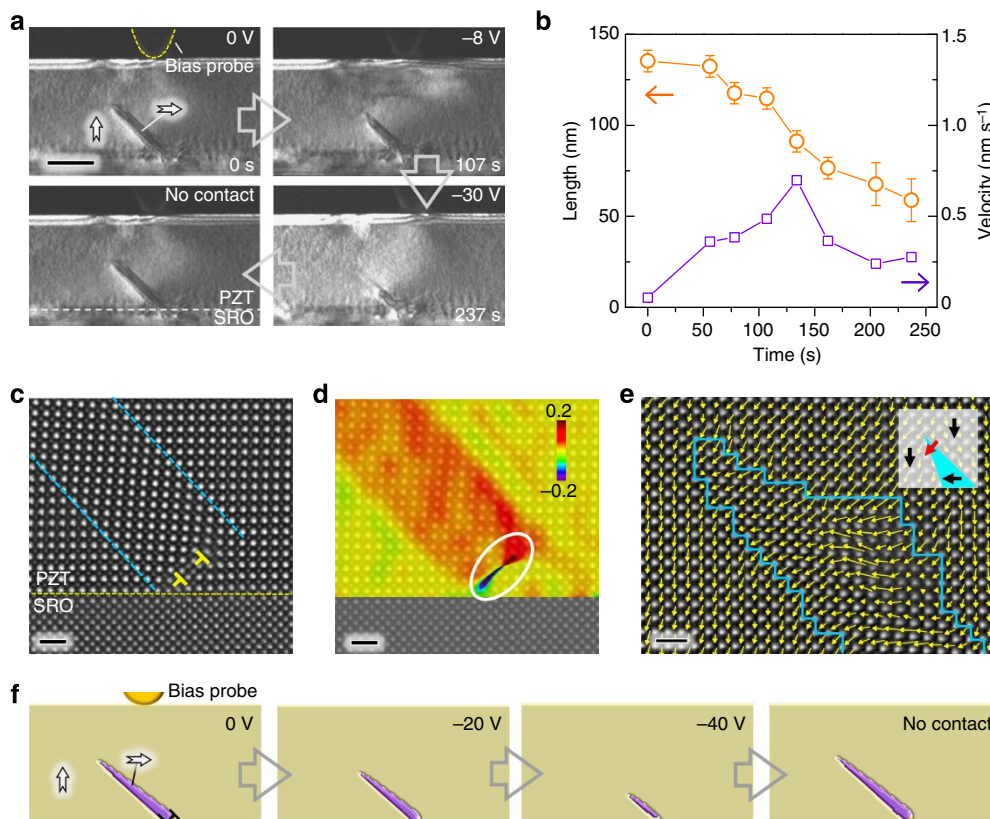


Figure 2 | Partial ferroelastic domain switching by electric fields. (a) Consecutive images showing bias-induced incomplete switching of a partial ferroelastic domain originating from the bottom interface as voltage is ramped from 0 \rightarrow (–30) \rightarrow 0 V. Rotation of the polarization during switching begins from the domain's needle point. The partial *a*-domain cannot be erased completely even up to –30 V, and returns to its original extent after removal of contact. Scale bar, 100 nm. (b) The length and velocity (change in domain length with time) of the partial ferroelastic domain are plotted as functions of time. (c) High-resolution HAADF STEM image showing a partial ferroelastic domain is pinned by dislocations at the interface. Scale bar, 1 nm. The dislocation cores are labelled with 'T'. 90° domain walls are highlighted by blue-dashed lines. (d) HAADF STEM image overlaid with in-plane strain colour map (strain tensor $\epsilon_{xx}(\text{in-plane})$) determined by geometric phase analysis showing huge local strain near dislocation cores (highlighted by white line). Scale bar, 1 nm. Colour scale for in-plane strain tensor $\epsilon_{xx}(\text{in-plane})$: –0.2 \rightarrow 0.2 nm/nm. (e) High-resolution HAADF STEM image of a ferroelastic domain's needle point overlaid with polarization vectors showing the in-plane polar state (in *a*-domain) transitions gradually to the out-of-plane polar state (in *c*-domain), generating a highly active transition zone. Scale bar, 1 nm. The blue outline highlights the estimated domain boundary, which is not abrupt. The inset schematically shows the irregular polarization configuration at the needle point of the ferroelastic domain. (f) Phase-field modelling of electrical switching of a ferroelastic domain. A partial ferroelastic domain was stabilized in a poled [001] PZT film around two partial dislocations near the film interface. An electric potential was then applied to the surface of the film using an electrode modelled with a Lorentz-like function. In response to this potential, the extent of the ferroelastic domain in the system decreases. On removal of the applied potential, the ferroelastic domain returns to its original extent.

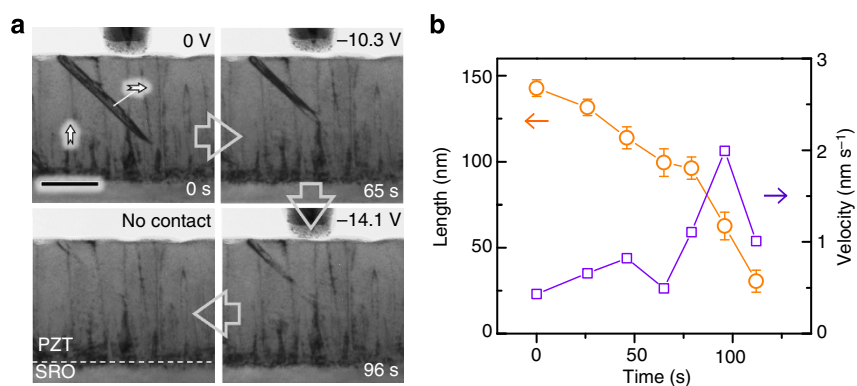


Figure 3 | Erasure of a partial ferroelastic domain by electric fields. (a) Consecutive images showing bias-induced erasure of a partial ferroelastic domain originating from the free surface. Scale bar, 100 nm. Note that the erasure occurs gradually as voltage is ramped from 0 \rightarrow (–15) \rightarrow 0 V. Rotation of polarization also starts from the domain's needle tip. (b) The length and velocity (change in domain length with time) of the partial domain are plotted as functions of time.

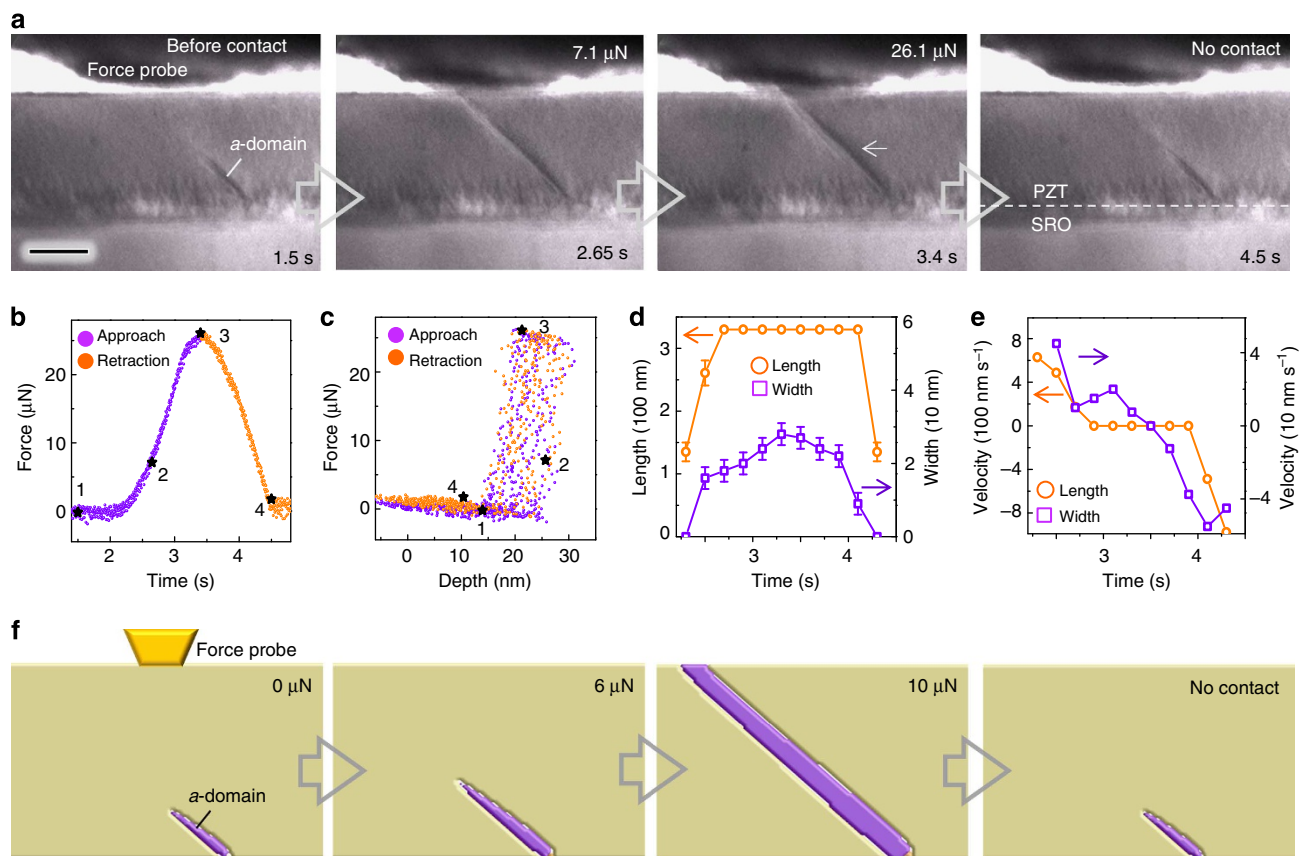


Figure 4 | Mechanical switching of a ferroelastic domain. (a) Bright-field still images extracted from a video showing the evolution of a partial *a*-domain during out-of-plane compression. The partial *a*-domain grows through the film thickness and widens at the surface of the film then returns to its original state after release of the stress. Scale bar, 100 nm. The corresponding load versus time curve (b) and load versus displacement curve (c). The purple points correspond to the approach branch and orange points correspond to the retraction branch. The highlighted black star points correspond to images in a. (d) The length and width of the ferroelastic domain are plotted as functions of time. The position for width measurement is highlighted by the white thin arrow in a. (e) The velocities of needle point motion and domain wall sideways movement (changes in domain length and width with time, respectively) are plotted as functions of time. (f) Phase-field modelling of mechanical switching of ferroelastic domain. Application of a force to a PZT film was modelled by assuming that the probe was a rigid indenter with size of 40 nm. As the applied load increases, the ferroelastic domain grows. With a large enough load, the ferroelastic domain extends to the film surface. On removal of the applied load, the domain returns to its original extent.

Similar to electric switching, the polarization rotation driven by mechanical stress begins at the tapered needle point (Supplementary Movie 1) of a partial *a*-domain. With increasing applied mechanical force up to 7.1 μN , the domain extends through the thickness to the surface along a roughly 45° orientation. This configuration allows the domain walls to adopt the typical lowest energy orientations parallel to {101} planes. Further increasing the force up to 26.1 μN widens the *a*-domain. Once the force is removed, the *a*-domain shrinks back to its original length, indicating the reversibility of the switching. The ferroelastic switching, then, appears completely reversible. While the distribution of stress in the test is not completely uniform because of irregular contact between the probe and the film, the maximum stress, p_m , induced by the probe can be estimated from the Hertz contact model³⁰: $p_m = 3F/2\pi r^2$, where F is the force and r is the contact radius. Assuming a circular contact area and, given $r = 40$ nm and $F = 7.1$ μN from Fig. 4a,b, the corresponding maximum stress is ~ 2.12 GPa. This value is well below the typical threshold for irreversible plastic damage for perovskite ferroelectrics³¹. Approach and retraction curves in Fig. 4c are nearly identical and indicate no mechanical damage occurred to the film during indentation. The domain length and width and the velocities of the needle point and domain wall sideways movements are plotted as functions of time and are shown in

Fig. 4d,e, respectively. The velocity of the needle point in our experiments, ~ 100 –1,000 nm s^{-1} , is nearly identical to the velocity of similar domains in bulk single-crystal LaAlO_3 at a moderate stress field²⁸. However, the velocity of sideways movement of the domain walls, ~ 10 –100 nm s^{-1} , is much lower than that of the needle point in our study. A previous study showed that in ferroelastic materials, needle domains can move even under small external forces and/or at low temperature while larger forces and/or at higher temperatures are required to cause additional sideways movement of domain walls³². These differences in velocity and threshold field between needle point motion and sideways movement are probably because of the fact that the needle point has a high-energy domain front owing to the deviation from the regular polar axis in the polarization vector, as shown in Fig. 2e. Application of a force to a PZT thin film was also modelled with the phase-field method as shown in Fig. 4f. In agreement with the experimental results, the simulation shows that the partial *a*-domain grows and extends to the free surface under strain fields up to 10 μN . On reaching the film-free surface, the *a*-domain becomes wider, but returns to its original extent when the applied load is removed.

Phase-field modelling was also used to study application of a force along the TEM-viewing direction of the sample (as schematically shown in Fig. 5a), which cannot be easily studied

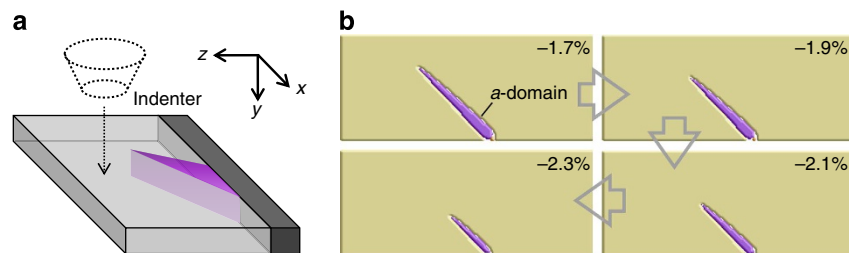


Figure 5 | Simulated response of clamped ferroelastic domain to applied strain along the zone axis. (a) Schematic showing application of a force along the viewing direction. (b) Application of the force was modelled as an increase in the strain along the TEM-viewing axis. This strain was added to the existing coherency strain of -1.7% along this direction. During the simulation, the average in-plane strain along the x direction was held constant to model the clamped thin film. With increasing strain along the viewing direction (ϵ_{yy}) the extent of the ferroelastic domain is seen to become reduced, in contrast to a mechanical force applied to a clamped film along the thin film normal direction. However, the ferroelastic domain could not be completely removed from the thin film owing to the interfacial dislocations present at the substrate interface.

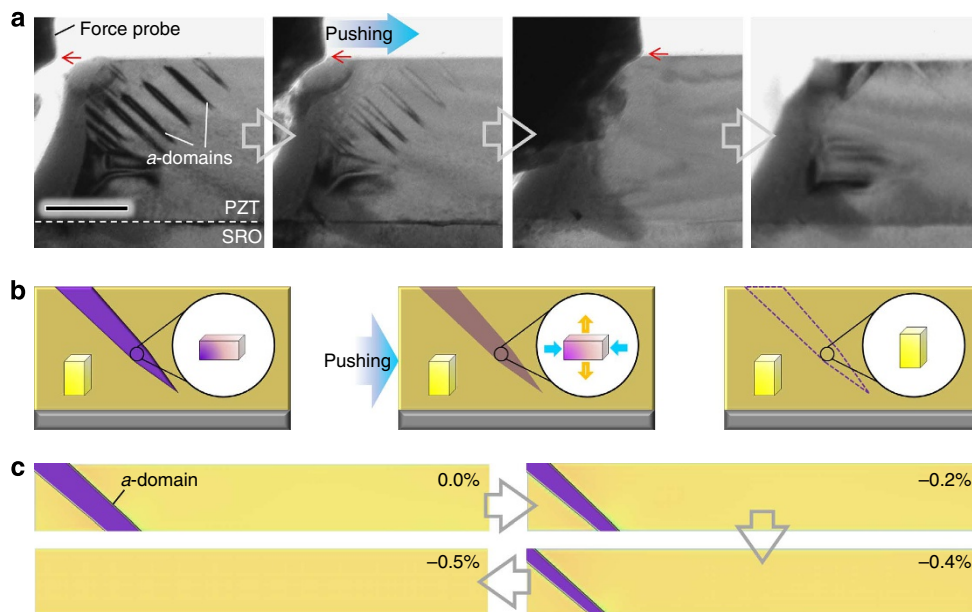


Figure 6 | Mechanical erasure of ferroelastic domains. (a) Consecutive images showing in-plane stress induced ferroelastic domain erasure. Scale bar, 100 nm. A blunt tip presses the film laterally from the left side and the force is mainly along the x direction. Domain contrast changes slightly owing to contact stress, eventually disappears and does not come back after removing the probe. The red arrows indicate the induced strain is essentially along the in-plane direction. (b) Schematic showing that the stress favours c-domain formation and suppresses the a-domain, causing erasure of a-domains. Left, before contact; middle, squeezing the a-domain; right, the a-domain has been switched to a c-domain. (c) The sequential cross-section plots of domain structures of PZT calculated by phase-field modelling. The extra strain ϵ_{xx} applied along the x direction, ranges from -0.2 to -0.5% . The purple colour represents the a-domain, and yellow indicates c-domain. The a-domain shrinks and finally disappears with the increasing shear stress. The critical applied strain required to erase an a-domain is about -0.45% .

with *in situ* TEM. In this simulation, the applied stress is assumed to uniformly increase the compressive strain in the viewing direction. The thin film sample, however, is assumed here to be laterally clamped, which prevents changes in the average in-plane strain in the system perpendicular to the viewing direction and corresponds to the application of a stress by an indenter much smaller than the in-plane length of the film and similar to the probe in the experimental set-up. Increasing the compressive strain along the viewing direction causes the partial a-domain to decrease in extent, as shown chronologically in Fig. 5b. During switching, the a-domain reduces in both length and lateral extent with increasing applied strain and, similar to the other switching cases, domain wall motion is fastest at the partial domain tip. On removal of the strain the domain returns to its original extent.

Unlike mechanical switching with an out-of-plane mechanical force, both the c-domain and a-domain have identical in-plane

strains along the TEM-viewing direction. Rather, switching occurs because of the stabilization of the c-domain by the strain state caused by both the applied strain and in-plane clamping of the film. Application of strain, ϵ_{yy} , along the viewing direction results in an out-of-plane elastic strain, ϵ_{zz} , of³³ $\epsilon_{zz} = -c_{12}(\epsilon_{xx} + \epsilon_{yy})/c_{11}$ where ϵ_{xx} is the in-plane coherency strain that remains unchanged in the clamped film with application of strain along the viewing direction, and c_{11} and c_{12} are the elastic stiffness constants of the cubic parent material used as reference in the model. At higher compressive ϵ_{yy} strains the strain ϵ_{zz} is increased, which results in increased tetragonality of the system and stability of the c-domain^{33,34}. This leads to the observed switching behaviour of the ferroelastic domain. In contrast, if the indenter is comparable in length scale to the thin film and the film is assumed to be unclamped, the switching behaviour is reversed as shown in Supplementary

Fig. 1. These results are discussed in the Supplementary Discussion.

In-plane compression perpendicular to the TEM-viewing direction similarly destabilized the *a*-domain, as shown in Fig. 6a, which depicts the evolution of partial *a*-domains along the [100] direction. With increasing compression, the contrast associated with the *a*-domains changes, ultimately disappears and remains absent on relaxation. The mechanism by which this stress erases the *a*-domains is straightforward, as compression favours the smaller in-plane lattice parameter of the *c*-orientation, illustrated in Fig. 6b. Phase-field simulations, shown in Fig. 6c, indicate the *a*-domains should become progressively and uniformly thinner with increasing strain, and finally vanish at a strain of approximately -0.45% . Together, mechanical switching using both *in situ* TEM and phase-field simulations show partial ferroelastic domains in the system are highly mobile under all uniaxial loading directions.

Discussion

In conclusion, the mobility of ferroelastic domains under applied electrical field and mechanical stress is demonstrated by direct observations in TEM in combination with phase-field modelling. The behaviour of the *a*-domains in response to external excitations is distinctive and is based on their microstructure and configurations within the film. For both sources of stimuli, partial *a*-domains sitting at free surfaces are always more mobile than those that extend to the bottom interface, which are subject to interface clamping. Dislocations at the substrate interface strongly pin *a*-domains. Further, through-film *a*-domains are usually immobile, while a partial *a*-domain originating at the bottom interface cannot be erased completely by an electric field but can be reversibly extended upwards to the surface of the film through the application of compression at the surface of the film. These results may help explain past disagreements regarding the mobility or immobility of ferroelastic domains, as it depends on which type of ferroelastic domain was present in the area under study. Our results also show that, in ferroelastic needle domains, switching initiates at the high-energy ‘point’ of the needle, where the onset of polarization rotation is observed. One approach to increase the electromechanical response through such switching, therefore, might be to deliberately apply electrical field¹⁶ or strain gradients through mismatch, island patterning, dopant gradients and so on to ensure these ferroelastic domain tips are readily available. Our direct observations that the domain microstructure determines polarization switching characteristics provide new insights into fundamental physics of ferroelectrics and that underpin engineering of nanoscale ferroelectric devices that may include only one or a very few defects in a single cell. Although the observations of switching behaviour are based on the foil geometry of cross-sectional TEM samples, our conclusions can be reasonably assumed to also apply to extended ferroelectric thin film devices owing to the close agreement with the modelling we observed; because the modelling assumes periodic boundary condition along the TEM-viewing direction, no surface effects occur in the model. This implies there is nearly no impact on our results owing to surface effects caused by the foil thinness and are probably unaffected by foil geometry. Furthermore, local switching of ferroelastic domains shown here indicates the possibility of controlling multiple parameters coupled to ferroelastic order in low-symmetry materials, as well as the ability to probe the microstructure dependence of such couplings.

Methods

Materials synthesis and characterization. Tetragonal $\text{Pb}(\text{Zr}_{0.2}\text{Ti}_{0.8})\text{O}_3$ (PZT) films oriented in the [001] direction and with ~ 200 nm in thickness were grown on (001) SrTiO_3 or (110) DyScO_3 substrates buffered by a SRO-conducting

electrode with a thickness of 20–50 nm. Cross-sectional TEM specimens were prepared by mechanical polishing followed by argon ion milling (Precision Ion Polishing System, Gatan). *In situ* TEM experiments were carried out using JEOL 2010F microscope operated at 200 kV in TEM mode equipped with a Nanofactory scanning tunnelling microscopy system at the University of Michigan, and JEOL 3011 microscope operated at 300 kV in TEM mode equipped with a Hysitron nanoindentation system in the Lawrence Berkeley National Laboratory. For electrical switching, a bias voltage was applied between an electrochemically etched tungsten tip, serving as a top electrode, and the conductive SRO bottom electrode that was connected to the holder ground. External voltages were applied using an HP 6614C power supply for direct current voltages. For mechanical indentation, a diamond punch was used. For mechanical erasure of ferroelastic domain, a blunt tungsten tip driven by Nanofactory system was employed to apply in-plane pressure. The evolution of domain structure was imaged in real time using diffraction contrast imaging (diffraction $g = (200)$) and recorded by a charge-coupled device (Gatan) in JEOL 2010F or television-rate camera (Gatan) in JEOL 3011. High-resolution HAADF-STEM images used in this work were obtained from probe C_5 -corrected FEI Titan (TEAM 0.5 in Lawrence Berkeley National Laboratory) operating at 300 kV with a semi-convergence angle of 17 mrad, collection angles of [53; 270] mrad and beam current of ~ 0.07 nA.

High-resolution STEM image analysis. All high-resolution STEM images used for polarization mapping in this work were filtered in Fourier space using a grid mask to select for the lattice frequencies and by low- and high-pass annular filters to remove the zero-frequency and high-frequency noise above the information transfer limit. Atom positions were determined by simultaneously fitting two-dimensional Gaussian peaks to an a priori perovskite unit cell using a home-developed code running in MatLab R2011a. Polar displacements of the Pb cations were measured relative to the centre of the surrounding Zr/Ti cations in HAADF STEM images. Geometric phase analysis was performed using a free FRWRtools plugin for Digital Micrograph by Koch³⁵ based on the original work by Hytch *et al.*^{36,37} The detailed parameters are shown in Supplementary Fig. 2.

Phase-field modelling. Ferroelastic switching in the thin film was simulated using the phase-field method by solving the time-dependent Ginzburg–Landau equation for the temporal evolution of the polarization vector field^{33,34}

$$\frac{\partial P_i(x, t)}{\partial t} = -L \frac{\delta F}{\delta P_i(x, t)}, i=1, 2, 3 \quad (1)$$

where P_i is the polarization, L is a kinetic coefficient related to the domain wall mobility and F is the volume integral of the system energy that includes contributions from the Landau, elastic and gradient energies. Detailed descriptions of the energy functional F are presented in some depth in our previous publication³³. This equation was solved by a semi-implicit Fourier spectral method using a discret grid of $256 \Delta x \times 1 \Delta x \times 150 \Delta x$ (Δx is the number of grid points) with periodic boundary conditions along the x_1 and x_2 axes in the film plane³⁴. A thin film was simulated by applying appropriate boundary conditions in the out-of-plane x_3 direction as described in detail in our previous publications^{34,38}. The spacing of the grid, Δx , was taken to be 0.5 nm. A thickness of $80 \Delta x$ was used for the film, and a thickness of $40 \Delta x$ was used for the substrate layer that was allowed to mechanically relax. To simulate the thin film, we set a small initial polarization in the out-of-plane direction and allowed the film to relax. By incorporating dislocations as described in the paper, the films were found to relax to include partial ferroelastic domains. Each dislocation was incorporated into the model by explicitly considering the eigenstrains associated with the missing half-plane of atoms between the dislocation core and the free surface of the thin film following the work of Hu³⁹ and Wang⁴⁰. These eigenstrains were incorporated into the solution for mechanical equilibrium in the system. Mixed elastic boundary conditions were used where the displacement at the bottom of the substrate was assumed to be zero, and the out-of-plane components of the stress were assumed to be zero at the film-free surface³⁴. The average residual strain in the thin film was chosen to be -2.1% for the mechanical switching simulations and -1.7% for the electric switching simulations³⁴.

After equilibrium was reached with a traction-free top surface, mechanical switching was simulated by altering to boundary condition at the free surface of the film to apply a finite σ_{33} stress at the film surface. The mechanical indenter was simulated as a rigid cylindrical punch with a bottom surface profile of a 12th-order polynomial to represent a nearly flat bottom that caused an additional displacement, δ , at the top surface of⁴¹

$$\delta(r) = \frac{br^{12}}{12}, r \leq a \quad (2)$$

where b is a fitting constant, a is the radius of the punch and r is the distance between a point on the surface of the film and the centre of the cylindrical punch. Throughout the simulations, the indenter was assumed to have a radius of 40 nm. Determining the total load and stress distribution caused by this displacement are described in ref. 41. The fitting parameter, b , was chosen such that the total load applied by the indenter was as indicated in the main text.

Similarly, boundary conditions for the electric equations employed fixed electrical potential at the top and bottom surfaces of the thin film. Potential at the

top and bottom surfaces was set to zero to allow the film to reach equilibrium and for the mechanical switching simulations. To simulate switching with an applied electrical potential, the top surface of the film was assumed to be traction free while the potential, ϕ , at the top surface of the film was set to⁴²

$$\phi = \phi_0 \left(\frac{\gamma^2}{\gamma^2 + (x - x_0)^2 + (y - y_0)^2} \right) \quad (3)$$

where ϕ_0 is the applied potential, γ is the half-width of the electrode and (x_0, y_0) is the position of the electrode. In this simulation we used an isotropic dielectric constant of $\epsilon_r = 10$. The Landau, stiffness and electrostrictive constants used are listed in ref. 34.

References

- Merz, W. J. Domain formation and domain wall motions in ferroelectric BaTiO₃ single crystals. *Phys. Rev.* **95**, 690–698 (1954).
- Nagarajan, V. *et al.* Dynamics of ferroelastic domains in ferroelectric thin films. *Nat. Mater.* **2**, 43–47 (2003).
- Balke, N. *et al.* Deterministic control of ferroelastic switching in multiferroic materials. *Nat. Nanotechnol.* **4**, 868–875 (2009).
- Baek, S. H. *et al.* Ferroelastic switching for nanoscale non-volatile magnetoelectric devices. *Nat. Mater.* **9**, 309–314 (2010).
- Sato, Y., Hirayama, T. & Ikuhara, Y. Real-time direct observations of polarization reversal in a piezoelectric crystal: Pb(Mg_{1/3}Nb_{2/3})O₃-PbTiO₃ studied via in situ electrical biasing transmission electron microscopy. *Phys. Rev. Lett.* **107**, 187601–187604 (2011).
- Kohli, M., Muralt, P. & Setter, N. Removal of 90 degrees domain pinning in (100) Pb(Zr_{0.15}Ti_{0.85})O₃ thin films by pulsed operation. *Appl. Phys. Lett.* **72**, 3217–3219 (1998).
- Ganpule, C. S. *et al.* Role of 90 degrees domains in lead zirconate titanate thin films. *Appl. Phys. Lett.* **77**, 292–294 (2000).
- Lee, K. S., Kim, Y. K., Baik, S., Kim, J. & Jung, I. S. In situ observation of ferroelectric 90 degrees-domain switching in epitaxial Pb(Zr,Ti)O₃ thin films by synchrotron x-ray diffraction. *Appl. Phys. Lett.* **79**, 2444–2446 (2001).
- Li, W. & Alexe, M. Investigation on switching kinetics in epitaxial Pb(Zr_{0.2}Ti_{0.8})O₃ ferroelectric thin films: role of the 90 degrees domain walls. *Appl. Phys. Lett.* **91**, 262903–262905 (2007).
- Ehara, Y. *et al.* Ultrafast switching of ferroelastic nanodomains in bilayered ferroelectric thin films. *Appl. Phys. Lett.* **99**, 182906 (2011).
- Zednik, R. J., Varatharajan, A., Oliver, M., Valanoor, N. & McIntyre, P. C. Mobile ferroelastic domain walls in nanocrystalline PZT films: the direct piezoelectric effect. *Adv. Funct. Mater.* **21**, 3104–3110 (2011).
- Lee, J. K. *et al.* Direct observation of asymmetric domain wall motion in a ferroelectric capacitor. *Acta Mater.* **61**, 6765–6777 (2013).
- Roelofs, A. *et al.* Depolarizing-field-mediated 180 degrees switching in ferroelectric thin films with 90 degrees domains. *Appl. Phys. Lett.* **80**, 1424–1426 (2002).
- Meyer, B. & Vanderbilt, D. Ab initio study of ferroelectric domain walls in PbTiO₃. *Phys. Rev. B* **65**, 104111–104121 (2002).
- Ganpule, C. S. *et al.* Domain nucleation and relaxation kinetics in ferroelectric thin films. *Appl. Phys. Lett.* **77**, 3275–3277 (2000).
- Le Rhun, G. *et al.* Increased ferroelastic domain mobility in ferroelectric thin films and its use in nano-patterned capacitors. *Nanotechnology* **17**, 3154–3159 (2006).
- Chu, M. W., Szafraniak, I., Hesse, D., Alexe, M. & Gosele, U. Elastic coupling between 90 degrees twin walls and interfacial dislocations in epitaxial ferroelectric perovskites: a quantitative high-resolution transmission electron microscopy study. *Phys. Rev. B* **72**, 174112 (2005).
- Su, D. *et al.* Origin of 90 degrees domain wall pinning in Pb(Zr_{0.2}Ti_{0.8})O₃ heteroepitaxial thin films. *Appl. Phys. Lett.* **99**, 102902–102904 (2011).
- Zhang, J. X. *et al.* Large field-induced strains in a lead-free piezoelectric material. *Nat. Nanotechnol.* **6**, 97–101 (2011).
- Nelson, C. T. *et al.* Domain dynamics during ferroelectric switching. *Science* **334**, 968–971 (2011).
- Chang, H. J. *et al.* Watching domains grow: In-situ studies of polarization switching by combined scanning probe and scanning transmission electron microscopy. *J. Appl. Phys.* **110**, 052014–052019 (2011).
- Gao, P. *et al.* Revealing the role of defects in ferroelectric switching with atomic resolution. *Nat. Commun.* **2**, 591 (2011).
- Gao, P. *et al.* Direct observations of retention failure in ferroelectric memories. *Adv. Mater.* **24**, 1106–1110 (2012).
- Nelson, C. T. *et al.* Spontaneous vortex nanodomain arrays at ferroelectric heterointerfaces. *Nano Lett.* **11**, 828–834 (2011).
- Chu, M. W. *et al.* Impact of misfit dislocations on the polarization instability of epitaxial nanostructured ferroelectric perovskites. *Nat. Mater.* **3**, 87–90 (2004).
- Kiguchi, T. *et al.* Configuration and local elastic interaction of ferroelectric domains and misfit dislocation in PbTiO₃/SrTiO₃ epitaxial thin films. *Sci. Technol. Adv. Mater.* **12**, 034413–034421 (2011).
- Little, E. A. Dynamic behavior of domain walls in barium titanate. *Phys. Rev.* **98**, 978–984 (1955).
- Harrison, R. J. & Salje, E. K. H. The noise of the needle: avalanches of a single progressing needle domain in LaAlO₃. *Appl. Phys. Lett.* **97**, 021907 (2010).
- Wang, J., Shi, S. Q., Chen, L. Q., Li, Y. L. & Zhang, T. Y. Phase field simulations of ferroelectric/ferroelastic polarization switching. *Acta Mater.* **52**, 749–764 (2004).
- Johnson, K. L. *Contact Mechanics* (Cambridge Univ. Press, 1996).
- Gaillard, Y., Macias, A. H., Munoz-Saldana, J., Anglada, M. & Trapaga, G. Nanoindentation of BaTiO₃: dislocation nucleation and mechanical twinning. *J. Phys. D Appl. Phys.* **42**, 085502 (2009).
- Harrison, R. J., Redfern, S. A. T. & Salje, E. K. H. Dynamical excitation and anelastic relaxation of ferroelastic domain walls in LaAlO₃. *Phys. Rev. B* **69**, 144101 (2004).
- Chen, L. Q. Phase-field method of phase transitions/domain structures in ferroelectric thin films: a review. *J. Am. Ceram. Soc.* **91**, 1835–1844 (2008).
- Li, Y. L., Hu, S. Y., Liu, Z. K. & Chen, L. Q. Effect of substrate constraint on the stability and evolution of ferroelectric domain structures in thin films. *Acta Mater.* **50**, 395–411 (2002).
- Koch, C. T. *Geometric Phase Analysis (GPA) of Images, Image Stacks and Wave Functions* (Ulm University) available at <http://www.elim.physik.uni-ulm.de/>.
- Hytch, M. J., Snoeck, E. & Kilaas, R. Quantitative measurement of displacement and strain fields from HREM micrographs. *Ultramicroscopy* **74**, 131–146 (1998).
- Hytch, M. J. & Plamann, T. Imaging conditions for reliable measurement of displacement and strain in high-resolution electron microscopy. *Ultramicroscopy* **87**, 199–212 (2001).
- Chen, L. Q. & Shen, J. Applications of semi-implicit Fourier-spectral method to phase field equations. *Comput. Phys. Commun.* **108**, 147–158 (1998).
- Hu, S. Y., Li, Y. L. & Chen, L. Q. Effect of interfacial dislocations on ferroelectric phase stability and domain morphology in a thin film - a phase-field model. *J. Appl. Phys.* **94**, 2542–2547 (2003).
- Wang, Y. U., Jin, Y. M., Cuitino, A. M. & Khachatryan, A. G. Nanoscale phase field microelasticity theory of dislocations: model and 3D simulations. *Acta Mater.* **49**, 1847–1857 (2001).
- Aleksandrov, V. M. & Pozharskii, D. A. *Three-Dimensional Contact Problems* (Kluwer Academic Publishers, 2001).
- Choudhury, S. *et al.* Effect of ferroelastic twin walls on local polarization switching: phase-field modeling. *Appl. Phys. Lett.* **93**, 162901–162903 (2008).

Acknowledgements

The work at the University of Michigan was supported by the Department of Energy (DOE) under the Grant DE-FG02-07ER46416 and partially by the National Science Foundation under Grants DMR-0820404 (J.R.J.) and DMR/MRI-0723032 (TEM instrument). The work at University of Wisconsin-Madison was supported by the Army Research Office under Grant number W911NF-10-1-0362. The work at Penn State University was supported by the DOE under the Grant DE-FG02-07ER46417 and partially by the NSF through Grants DMR-0820404 and DMR-1210588 (C.D.). We also acknowledge the National Center for Electron Microscopy at Lawrence Berkeley National Laboratory for their support under the DOE Grant DE-AC02-05CH11231 for user facilities.

Author contributions

X.P. directed the entire study. P.G. designed and carried out TEM experiments assisted by C.T.N., J.R.J., L.L. and Y.W., and performed data analysis. J.B. and C.D. performed phase-field calculation under the supervision of L.-Q.C.; M.T. and Y.-H.C. grew part of films under direction of R.R.; S.-H.B. grew part of films under the supervision of C.-B.E.; and H.G. assisted Hysitron experiments under direction of A.M.M. All authors participated in the preparation of the manuscript.

Additional information

Supplementary Information accompanies this paper at <http://www.nature.com/naturecommunications>

Competing financial interests: The authors declare no competing financial interests.

Reprints and permission information is available online at <http://npj.nature.com/reprintsandpermissions/>

How to cite this article: Gao, P. *et al.* Ferroelastic domain switching dynamics under electrical and mechanical excitations. *Nat. Commun.* **5**:3801 doi: 10.1038/ncomms4801 (2014).